

Counterfactuals (not) under discussion

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Overview

Overview

The focus

(1) If ticket #37 had been bought, it would have won.

• We focus on two approaches:

¹Stalnaker 1968, 1981 for selectional; von Fintel 1998, Schlenker 2004, Kriz 2015 for homogeneity

- We focus on two approaches:
 - Selectional approach

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- We focus on two approaches:
 - Selectional approach
 - Homogeneity approach

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- But it did not control for relevance

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- It found support for the selectional approach
- But it did not control for relevance
- The results remain compatible with both approaches

Addressing the confound

• A novel experiment

- A novel experiment
 - manipulating relevance

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 - manipulating relevance
 - enlarging the set of sentences

- A novel experiment
 - manipulating relevance
 - enlarging the set of sentences
- The results again support the selectional approach

• Focus is on the two approaches above

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- Focus is on the two approaches above
- We also discuss briefly two other approaches

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 - Universal approach

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 - Universal approach
 - Implicature approach

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- Focus is on the two approaches above
- We also discuss briefly two other approaches
 - Universal approach
 - Implicature approach
- Neither is in line with our results

²Lewis 1973, Kratzer 2012 for Universal; Bar-Lev and Bassi 2016 for Implicature

• Background and the two approaches

- Background and the two approaches
- Previous study

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- Previous study
- Potential confound

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- Experiment

- Background and the two approaches
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- Potential confound
- Experiment
- Discussion and conclusion

Background

Background

The two approaches

(2) If ticket #37 had been bought, it would have won.

- Selectional approach
- Homogeneity approach

 $^{^3\}mathsf{Stalnaker}$ 1968, 1981 for selectional; von Fintel 1998, Schlenker 2004, Kriz 2015 for homogeneity

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- They differ along two dimensions:
 - The quantificational force
 - How they handle undefinedness

(3) If ticket #37 had been bought, it would have won.

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 - TRUE iff the closest world where #37 is bought is a world in which it wins

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 - (SUPER)TRUE if true in all such worlds

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 - UNDEFINED otherwise

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- Inspired by the analogous approach to plural definites
 - (4) The tickets that have been bought won.

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 - (4) The tickets that have been bought won.
- $\bullet~\ensuremath{\mathrm{TRUE}}$ iff all of the tickets that were bought won

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 - (4) The tickets that have been bought won.
- $\bullet~\ensuremath{\mathrm{TRUE}}$ iff all of the tickets that were bought won
- $_{\rm FALSE}$ iff all of the tickets that were bought didn't win

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(4) The tickets that have been bought won.

- $\bullet~\ensuremath{\mathrm{TRUE}}$ iff all of the tickets that were bought won
- $_{\rm FALSE}$ iff all of the tickets that were bought didn't win
- UNDEFINED otherwise
- Homogeneity:

 \rightsquigarrow either all of the tickets that were bought won; or all of them didn't win

⁵von Fintel 1997, Schlenker 2004, Kriz 2015

(5) If ticket #37 had been bought, it would have won.

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- (5) If ticket #37 had been bought, it would have won.
 - TRUE iff in all of the closest worlds where it is bought it wins

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- (5) If ticket #37 had been bought, it would have won.
 - TRUE iff in all of the closest worlds where it is bought it wins
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⁶von Fintel 1997, Schlenker 2004, Kriz 2015

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 - $\bullet~\ensuremath{\mathrm{TRUE}}$ iff in all of the closest worlds where it is bought it wins
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 - TRUE iff in all of the closest worlds where it is bought it wins
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 \rightsquigarrow either in all closest worlds where ticket #37 is bought, it wins; or in all of such worlds, it doesn't win

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• Mixed lottery: all have a chance to win but none is guaranteed to win

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- Both approaches predict undefinedness in the simple positive case
 - (6) If ticket #37 had been bought, it would have won.

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- Both approaches predict undefinedness in the simple positive case
 - (6) If ticket #37 had been bought, it would have won.
- But differ in more complex cases
 - (7) None of these tickets would have won, if it had been bought.

- Mixed lottery all have a chance to win but none is guaranteed to win
 - (8) If ticket #37 had been bought, it would have won.

- Mixed lottery all have a chance to win but none is guaranteed to win
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- Mixed lottery all have a chance to win but none is guaranteed to win
 - (8) If ticket #37 had been bought, it would have won. UNDEFINED
 - \rightarrow in some candidate closest world #37 wins and in some it loses
 - (9) None of the tickets would have won, if it had been bought (SUPER)FALSE
 - \rightarrow In all candidate closest worlds some ticket or other always win

Homogeneity approach

- Mixed lottery: all have a chance to win but none is guaranteed to win
 - (10) If ticket #37 had been bought, it would have won. UNDEFINED → if bought, ticket #37 is guaranteed to win or guaranteed to lose ×

⁷Regardless of the strength of homogeneity projection through negative quantifiers

Homogeneity approach

- Mixed lottery: all have a chance to win but none is guaranteed to win
 - (10) If ticket #37 had been bought, it would have won. UNDEFINED → if bought, ticket #37 is guaranteed to win or guaranteed to lose ×
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Homogeneity approach

- Mixed lottery: all have a chance to win but none is guaranteed to win
 - (10) If ticket #37 had been bought, it would have won. UNDEFINED → if bought, ticket #37 is guaranteed to win or guaranteed to lose ×
 - (11) None of the tickets would have won, if it had been bought UNDEFINED → all/some of the tickets are guaranteed to win or guaranteed to lose, if bought⁷ ×

⁷Regardless of the strength of homogeneity projection through negative quantifiers

THEORY	positive	negative
Selectional	undefined	false
Homogeneity	undefined	undefined

THEORY	positive	negative
Selectional	undefined	false
Homogeneity	undefined	undefined

Background

The previous study

• Positive and negative cases in mixed lottery scenarios

⁸Marty, Romoli, and Santorio 2019

- Positive and negative cases in mixed lottery scenarios
 - (12) If ticket #37 was bought, it would win.
 - (13) None of the tickets would win, if it was bought.

⁸Marty, Romoli, and Santorio 2019

- · Positive and negative cases in mixed lottery scenarios
 - (12) If ticket #37 was bought, it would win.
 - (13) None of the tickets would win, if it was bought.
- We used futureless vivid conditionals in this study

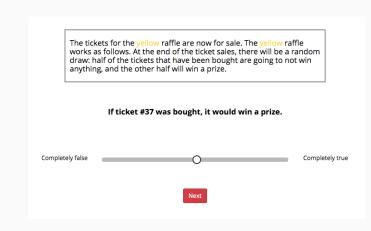
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• Control cases as baselines for falsity

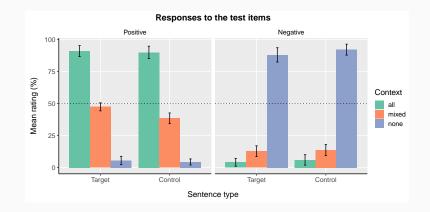
⁹Marty, Romoli, and Santorio 2019

- Control cases as baselines for falsity
 - (14) If ticket #37 was bought, necessarily, it would win.
 - (15) None of the tickets could win, if it was bought.

⁹Marty, Romoli, and Santorio 2019

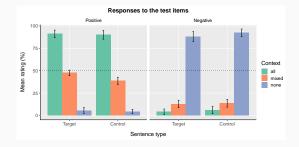


¹⁰Marty, Romoli, and Santorio 2019



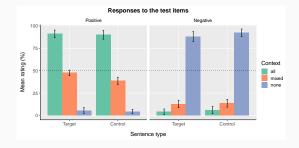
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Previous study

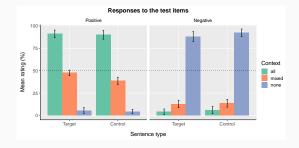


• Participants gave intermediate values to positive cases

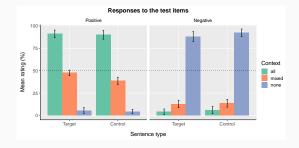
Previous study



- Participants gave intermediate values to positive cases
- Their response to the negative was as low as false controls



- Participants gave intermediate values to positive cases
- Their response to the negative was as low as false controls
- In line with the selectional approach



- Participants gave intermediate values to positive cases
- Their response to the negative was as low as false controls
- In line with the selectional approach
- Challenging for the homogeneity approach

Background

The potential confound

• The homogeneity approach supplemented with relevance sensitivity

(16) The tickets that have been bought won.

(16) The tickets that have been bought won. TRUE iff all of the tickets that were bought won

A

(16)	The tickets that have been bought won.	
	$\ensuremath{\operatorname{TRUE}}$ iff all of the tickets that were bought won	\forall
	$\ensuremath{\operatorname{FALSE}}$ iff none of the tickets that were bought won	-3

(16)	The tickets that have been bought won.	
	$\ensuremath{\operatorname{TRUE}}$ iff all of the tickets that were bought won	\forall
	$_{\rm FALSE}$ iff none of the tickets that were bought won	73
	UNDEFINED otherwise	$\exists \land \neg \forall$

• In a mixed lottery scenario where some tickets won and some lost

- In a mixed lottery scenario where some tickets won and some lost
 - (17) The tickets that have been bought won. UNDEFINED

- In a mixed lottery scenario where some tickets won and some lost
 - (17) The tickets that have been bought won. UNDEFINED
- But what is relevant can make the undefined case indistinguishable from the true/false one

• A pragmatic mechanism for contextual modulation based on relevance

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- Relevance modelled as the QuD or current issue in the context

• Whether any tickets that was bought won

• Whether any tickets that was bought won

 $\{\{\exists\},\{\neg\exists\}\}$

• Whether any tickets that was bought won $\{\{\exists\}, \{\neg\exists\}\}\}$ (18) a. \forall and $\exists \land \neg \forall$ $\Rightarrow \{\exists\}$



- Whether any tickets that was bought won
- (19) The tickets that have been bought won.

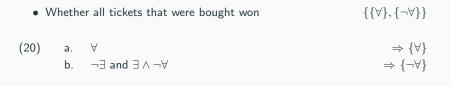
- Whether any tickets that was bought won
- (19) The tickets that have been bought won. \approx true

• Whether all tickets that were bought won

• Whether all tickets that were bought won

 $\{\{\forall\},\{\neg\forall\}\}$





- Whether all tickets that were bought won
- (21) The tickets that have been bought won.

- Whether all tickets that were bought won
- (21) The tickets that have been bought won. \approx false

Same for counterfactuals

(22) If ticket #37 was bought, it would win

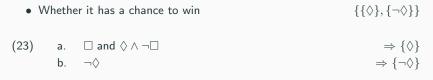
(22) If ticket #37 was bought, it would win TRUE iff in all closest worlds were it is bought it wins (22) If ticket #37 was bought, it would win TRUE iff in all closest worlds were it is bought it wins FALSE iff in no closest worlds were it is bought it wins

 $\neg \Diamond$

(22) If ticket #37 was bought, it would win TRUE iff in all closest worlds were it is bought it wins FALSE iff in no closest worlds were it is bought it wins UNDEFINED otherwise

 • Whether it has a chance to win

```
\{\{\diamondsuit\},\{\neg\diamondsuit\}\}
```



- Whether it has a chance to win
- (24) If ticket #37 was bought, it would win. \approx true

• Whether it is guaranteed to win



• Whether it is guaranteed to win









- Whether it is guaranteed to win
- (26) If ticket #37 was bought, it would win. \approx false

- For each ticket, whether it is guaranteed to win
 - (27) None of the tickets would win, if it was bought

- For each ticket, whether it is guaranteed to win
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- For each ticket, whether it has a chance to win
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- For each ticket, whether it is guaranteed to win
 - (27) None of the tickets would win, if it was bought \approx true
- For each ticket, whether it has a chance to win
 - (28) None of the tickets would win, if it was bought \approx false

QuDs	simple	negative
Existential	true	false
Universal	false	true

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- Participants might have accommodated existential QuDs
- Reinterpreting undefinedness to effectively true in the simple case
- Effectively false in the negative case

• The results remain compatible with a homogeneity approach when supplemented with a relevance-sensitive reinterpretation of undefinedness

Experiment

Experiment

Motivation

• We manipulated what was relevant in the context

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 - Whether each ticket had a chance to win

Ex-QuD

• We manipulated what was relevant in the context

•	Whether	each	ticket	had a	chan	ce to	win	Ex-QuD

• Whether each ticket was guaranteed to win U-QuD

- We also moved to genuine counterfactuals
 - (29) None of these tickets would have won if it had been bought.

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- And expanded the embedding environments to four quantifiers
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 Every one of these tickets would have won if it had been bought.
 NEG-WEAK

Not every one of these tickets would have won if it had been bought.

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 - POS-WEAK

Some of these tickets would have won if they had been bought.

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 - (29) None of these tickets would have won if it had been bought.
- And expanded the embedding environments to four quantifiers
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• NEG-STRONG

None of these tickets would have won if it had been bought.

• Concerning the truth value of counterfactuals

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	SELECTIONAL					
	every some none not ever					
Universal	FALSE	TRUE	FALSE	TRUE		
Existential	FALSE	TRUE	FALSE	TRUE		

• Concerning the truth value of counterfactuals

- Concerning the truth value of counterfactuals
 - all of them to be undefined

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- Concerning the truth value of counterfactuals
 - all of them to be undefined
- Concerning QuDs
 - depending on the QuD, some of the counterfactuals can be judged effectively true

	HOMOGENEITY						
	every some none not every						
Universal	FALSE	FALSE	TRUE	TRUE			
Existential	TRUE	TRUE	FALSE	FALSE			

SELECTIONAL every some none not every Universal FALSE TRUE FALSE TRUE Existential FALSE TRUE FALSE TRUE

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HOMOGENEITY

	every	some	none	not every
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Experiment

Design

• 87 participants in the final sample

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 - Polarity (negative, positive)
 - Quantifier strength (weak, strong)
 - Lottery scenario (all, mixed, none)

QUD manipulation

Ex-qud



I want to have a shot at winning.

U-QUD



I care about winning each and every single time.

Question whether: each ticket has a chance to win Question whether: each ticket is guaranteed to win

All

At the end of the ticket sales, every ticket that has been bought win a prize.

All

At the end of the ticket sales, every ticket that has been bought win a prize.

Mixed

At the end of the sales, only some of the tickets that have been bought win a prize.

All

At the end of the ticket sales, every ticket that has been bought win a prize.

Mixed

At the end of the sales, only some of the tickets that have been bought win a prize.

None

At the end of the ticket sales, none of the tickets that have been bought win a prize.

• POS-STRONG

Every one of these tickets would have won if it had been bought.

• NEG-WEAK

Not every one of these tickets would have won if it had been bought.

• POS-WEAK

Some of these tickets would have won if they had been bought.

• NEG-STRONG

None of these tickets would have won if it had been bought.

QUD check task



The orange raffle works as follows. The organizers want all participants to be content: at the end of the ticket sales, every ticket that has been bought win a prize.

Do you think that the person with John's investor profile, would invest in the orange raffle this year?



Figure 1: EX-QUD, ALL lottery context.

Graded TVJ task

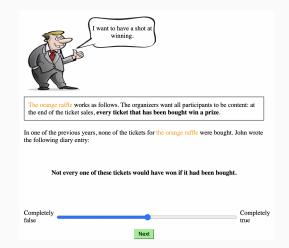
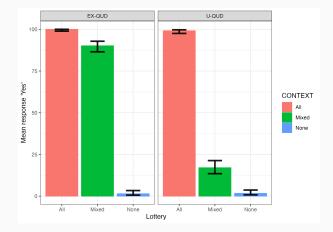


Figure 2: EX-QUD, ALL lottery context \times NEG \times WEAK.

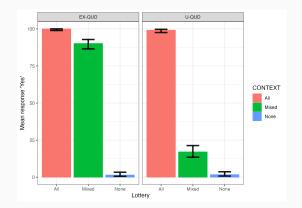
Experiment

Results

QUD check task



Ceiling and floor effects in ALL and NONE lotteries.

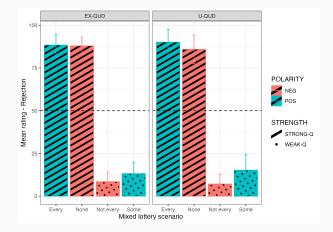


Significantly higher 'Yes' response rate in MIXED context under EX-QUD.

• Successful QUD manipulation.

- Successful QUD manipulation.
- Responses incorrect with regard to QUD manipulation were excluded from further analysis (in MIXED context responses: 'Yes' for U-QUD and 'No' for E-QUD).

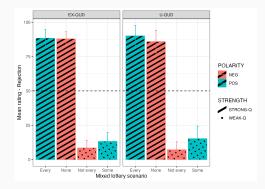
Graded TVJ task



Mean rejection rate for each quantifier.

Homogeneity approach

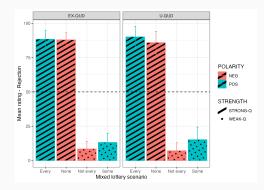
	HOMOGENEITY				
	every	some	none	not every	
Universal	FALSE	FALSE	TRUE	TRUE	
Existential	TRUE	TRUE	FALSE	FALSE	



No effect of QuD and QuD \times Polarity interaction.

Selectional approach

	SELECTIONAL				
	every	some	none	not every	
Universal	FALSE	TRUE	FALSE	TRUE	
Existential	FALSE	TRUE	FALSE	TRUE	



Only significant effect of quantifier strength.

Discussion

Discussion

The main result

• We addressed the confound of the previous study

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- by manipulating QuDs and expanding the embedding environments

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- We addressed the confound of the previous study
- by manipulating QuDs and expanding the embedding environments
- we find an effect of quantifier strength
- but no effect of QuD
- or interaction of QuD and Polarity

• The results are in line with the selectional approach

- The results are in line with the selectional approach
- challenging for the homogeneity approach

- The results are in line with the selectional approach
- challenging for the homogeneity approach
- even if supplemented with QuD-sensitive reinterpretation of undefinedness

Discussion

Other approaches

- What about the two other approaches?
 - Universal approach
 - Implicature approach

- What about the two other approaches?
 - Universal approach
 - Implicature approach
- Neither in line with our results

• Regardless of the QuD: the effect of Polarity

¹²Lewis 1973, Kratzer 2012

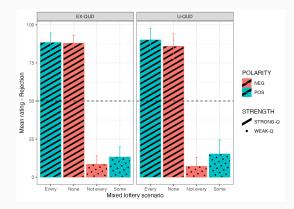
• Regardless of the QuD: the effect of Polarity

	UNIVERSAL			
	every	some	none	not every
Universal	FALSE	FALSE	TRUE	TRUE
Existential	FALSE	FALSE	TRUE	TRUE

¹²Lewis 1973, Kratzer 2012

Predictions: universal approach

	UNIVERSAL VS. OUR RESULTS			
QUD	every	some	none	not every
EX-/ U-	FALSE	FALSE	TRUE	TRUE



• Implicatures are sensitive to relevance

¹³Bassi and Bar-Lev 2016

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- It predicts relevance sensitivity where implicatures are involved.

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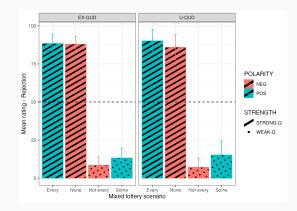
¹³Bassi and Bar-Lev 2016

- Implicatures are sensitive to relevance
- It predicts relevance sensitivity where implicatures are involved.
- Effect of QuD only for every and some

	IMPLICATURE			
	every	some	none	not every
Universal	FALSE IMP	FALSE IMP	FALSE	FALSE
Existential	TRUE	TRUE	FALSE	FALSE

Predictions: implicature approach

	IMPLICATURE VS. OUR RESULTS			
	every	some	none	not every
Universal	FALSE IMP	FALSE IMP	FALSE	FALSE
Existential	TRUE	TRUE	FALSE	FALSE



• Neither of the two alternative approach is compatible with our results

Discussion

Connection to other phenomena

• Controlling for what is relevant in the context

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- To investigate a similar debate with other phenomena:

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 - Plural definites¹⁴

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 - Donkey anaphora¹⁵
 - ...

- Controlling for what is relevant in the context
- To investigate a similar debate with other phenomena:
 - Plural definites¹⁴
 - Donkey anaphora¹⁵
 - ...
- For these cases, we find the effect of QUDs

¹⁴Augurzky et al 2022¹⁵Chao and Breheny 2019

• This type of experimental investigations allows us to distinguish between these cases

- This type of experimental investigations allows us to distinguish between these cases
- on the face of it, they look very similar and have been given similar analyses

Thanks!